

**TREASURER'S REPORT-REVISED
NOVEMBER 2018**

INSTITUTIONS

| INVESTMENT TYPE/INSTITUTION | CUSIP # | BOOK VALUE | DATE OF INVESTMENT | DATE OF MATURITY | ORIGINAL COST | MARKET VALUE | COST/MARKET DIFFERENCE | FACE/PAR VALUE | RATE OF INTEREST | YIELD TO MATURITY |
|---|----------------|------------------------|--------------------|------------------|---------------|--------------|------------------------|-----------------|------------------|---|
| POOLED INVESTMENTS | | | | | | | | | | |
| LAIF (Local Agency Investment Fund) | | \$ 44,487.32 | | | | | | \$44,487.32 | 2.208% | |
| LACPIF (Los Angeles County Pooled Investment Funds) | | \$ 26,090,897.26 | | | | | | \$26,090,897.26 | 1.910% | Rate for October, November publishes the first week of January. |
| Pooled Investments % of Total Investment | 75.215% | \$26,135,384.58 | | | | | | | | |

AGENCIES

| | | | | | | | | | | |
|---------------------------------------|---------------|---------------------|----------|----------|--------------|--------------|--------------|--------------|--------|--------|
| Federal Home Loan Mortgage | 3137EADK2 | \$498,457.64 | 8/1/2014 | 8/1/2019 | \$500,000.00 | \$495,320.00 | (\$4,680.00) | \$500,000.00 | 1.260% | 1.260% |
| Agencies % of Total Investment | 1.435% | \$498,457.64 | | | | | | | | |

NEGOTIABLE CDS

| | | | | | | | | | | |
|---------------------------------------|------------|--------------|------------|------------|--------------|--------------|---------------|--------------|--------|--------|
| Sallie Mae Bank | 795450UB9 | \$248,000.00 | 10/22/2014 | 10/22/2019 | \$248,000.00 | \$246,078.87 | (\$1,921.13) | \$248,000.00 | 2.170% | 2.170% |
| American Express Bank | 02587CCC2 | \$247,000.00 | 10/23/2014 | 10/23/2019 | \$247,000.00 | \$245,190.76 | (\$1,809.24) | \$247,000.00 | 2.220% | 2.220% |
| Peoples UTD Bank | 71270QLM6 | \$247,000.00 | 01/21/2015 | 01/21/2020 | \$247,000.00 | \$243,479.19 | (\$3,520.81) | \$247,000.00 | 1.880% | 1.880% |
| Private Bank and Trust | 74267GUU9 | \$248,000.00 | 01/23/2015 | 01/23/2020 | \$248,000.00 | \$244,588.65 | (\$3,411.35) | \$248,000.00 | 1.930% | 1.930% |
| Capital One Bank | 140420SH4 | \$248,000.00 | 06/17/2015 | 06/17/2020 | \$248,000.00 | \$244,593.39 | (\$3,406.61) | \$248,000.00 | 2.180% | 2.180% |
| Discover Bank | 254672QE1 | \$248,000.00 | 06/17/2015 | 06/17/2020 | \$248,000.00 | \$244,404.83 | (\$3,595.17) | \$248,000.00 | 2.130% | 2.130% |
| Capital One Nat'l Assn | 14042E5U08 | \$246,000.00 | 08/19/2015 | 08/19/2020 | \$246,000.00 | \$243,377.45 | (\$2,622.55) | \$246,000.00 | 2.430% | 2.430% |
| Everbank Jacksonville | 29976DA59 | \$245,000.00 | 08/28/2015 | 08/28/2020 | \$245,000.00 | \$240,886.15 | (\$4,113.85) | \$245,000.00 | 2.090% | 2.090% |
| American Express Centurion | 02587DF86 | \$247,000.00 | 11/04/2015 | 11/04/2020 | \$247,000.00 | \$243,519.70 | (\$3,480.30) | \$247,000.00 | 2.280% | 2.280% |
| Synchrony Bank | 87164YKW3 | \$247,000.00 | 11/20/2015 | 11/20/2020 | \$247,000.00 | \$243,208.28 | (\$3,791.72) | \$247,000.00 | 2.230% | 2.230% |
| Comenity Capital Bank | 20033ANX0 | \$249,000.00 | 01/19/2016 | 01/19/2021 | \$249,000.00 | \$243,336.47 | (\$5,663.53) | \$249,000.00 | 1.940% | 1.940% |
| EnerBank USA | 29266NX51 | \$247,000.00 | 01/28/2016 | 01/28/2021 | \$247,000.00 | \$241,343.93 | (\$5,656.07) | \$247,000.00 | 1.940% | 1.940% |
| World Foremost Bank Sydney | 981571CQ3 | \$200,000.00 | 06/09/2016 | 06/09/2021 | \$200,000.00 | \$193,665.89 | (\$6,334.11) | \$200,000.00 | 1.760% | 1.760% |
| Wells Fargo Bank NA Siouxfall | 9497485W3 | \$249,000.00 | 06/17/2016 | 06/17/2021 | \$249,000.00 | \$241,357.33 | (\$7,642.67) | \$249,000.00 | 1.810% | 1.810% |
| First Bank of Puerto Rico | 33767AZY09 | \$248,000.00 | 08/26/2016 | 08/26/2021 | \$248,000.00 | \$237,857.76 | (\$10,142.24) | \$248,000.00 | 1.510% | 1.510% |
| Beneficial Mutual Savings | 08173QBR6 | \$248,000.00 | 09/12/2016 | 09/12/2021 | \$248,000.00 | \$238,062.16 | (\$9,937.84) | \$248,000.00 | 1.560% | 1.560% |
| Countryside Federal Credit Union | 22239MAL2 | \$249,000.00 | 10/18/2016 | 10/18/2021 | \$249,000.00 | \$239,602.14 | (\$9,397.86) | \$249,000.00 | 1.710% | 1.710% |
| Venture Bank | 92326XDE8 | \$249,000.00 | 12/02/2016 | 09/02/2021 | \$249,000.00 | \$239,075.76 | (\$9,924.24) | \$249,000.00 | 1.560% | 1.560% |
| Stearns Bank NA | 857894SK6 | \$242,000.00 | 01/13/2017 | 01/13/2022 | \$242,000.00 | \$235,149.34 | (\$6,850.66) | \$242,000.00 | 2.110% | 2.110% |
| East Boston Savings Bank | 27113PBM2 | \$248,000.00 | 01/20/2017 | 01/20/2022 | \$248,000.00 | \$240,597.21 | (\$7,402.79) | \$248,000.00 | 2.060% | 2.060% |
| Goldman Sachs Bank | 38148PKT3 | \$246,000.00 | 06/14/2017 | 06/14/2022 | \$246,000.00 | \$240,709.67 | (\$5,290.33) | \$246,000.00 | 2.400% | 2.400% |
| Barclays Bank | 06740KKD8 | \$246,407.20 | 07/22/2017 | 07/12/2022 | \$247,000.00 | \$240,304.76 | (\$6,695.24) | \$247,000.00 | 2.260% | 2.260% |
| Marlin Business Bank | 57116APO5 | \$249,000.00 | 08/22/2017 | 08/23/2022 | \$249,000.00 | \$240,680.68 | (\$8,319.32) | \$249,000.00 | 2.120% | 2.120% |
| Merrick Bank South Jordan | 59013JC49 | \$249,000.00 | 10/19/2017 | 10/20/2022 | \$249,000.00 | \$240,818.58 | (\$8,181.42) | \$249,000.00 | 2.170% | 2.170% |
| Northfield Bank | 66612ABX5 | \$247,000.00 | 10/24/2017 | 10/25/2022 | \$247,000.00 | \$239,355.95 | (\$7,644.05) | \$247,000.00 | 2.220% | 2.220% |
| Morgan Stanley Bank | 61747MH95 | \$249,000.00 | 02/01/2018 | 02/01/2023 | \$249,000.00 | \$242,743.43 | (\$6,256.57) | \$249,000.00 | 2.690% | 2.690% |
| Allegiance Bank | 01748DBA3 | \$246,000.00 | 02/07/2018 | 02/07/2023 | \$246,000.00 | \$245,187.70 | (\$812.30) | \$246,000.00 | 2.640% | 2.640% |
| CitiBank NA | 17312QN39 | \$245,000.00 | 06/15/2018 | 06/15/2023 | \$245,000.00 | \$247,790.81 | \$2,790.81 | \$245,000.00 | 3.210% | 3.230% |
| BMW Bank North America | 05580AMX9 | \$245,000.00 | 06/15/2018 | 06/15/2023 | \$245,000.00 | \$247,790.81 | \$2,790.81 | \$245,000.00 | 3.210% | 3.210% |
| Industrial & Commercial Bank of China | 45581EAX9 | \$249,000.00 | 08/17/2018 | 06/30/2023 | \$249,000.00 | \$252,421.27 | \$3,421.27 | \$249,000.00 | 3.260% | 3.260% |
| Bank Midwest Spirit Lake | 063615BM9 | \$244,408.24 | 09/17/2018 | 09/15/2023 | \$245,000.00 | \$246,274.84 | \$1,274.84 | \$245,000.00 | 3.080% | 3.080% |
| UBS Bank | 90348JEJ5 | \$249,000.00 | 10/17/2018 | 10/17/2023 | \$249,000.00 | \$253,200.02 | \$4,200.02 | \$249,000.00 | 3.290% | 3.290% |
| Spring Bank | 849430AY9 | \$249,000.00 | 10/24/2018 | 10/24/2023 | \$249,000.00 | \$252,066.78 | \$3,066.78 | \$249,000.00 | 3.210% | 3.210% |

Negotiable CD % of Total Investment **23.351%** **\$8,113,815.44**

TOTAL ALL INVESTMENTS **\$ 34,747,657.66** **\$8,615,000.00** **\$8,474,040.56** **(\$140,959.44)** **\$34,750,384.58**

Average Rate of Interest **2.240%**
Average Yield to Maturity **2.251%**

In compliance with the California Code Section 53646, the Treasurer of the City of Hermosa Beach hereby certifies that sufficient investment liquidity and anticipated revenues are available to meet the City's budgeted expenditure requirements for the next six months. Investments in the report meet the requirements of the City of Hermosa Beach's adopted investment policy.

APPROVED: KAREN NOWICKI, CITY TREASURER